



May 27, 2021

Honorable Nancy Skinner, Chair  
Joint Legislative Budget Committee  
Senate Budget and Fiscal Review Committee

Honorable Phil Ting, Chair  
Assembly Budget Committee

Honorable Anthony Portantino, Chair  
Senate Appropriations Committee

Honorable Lorena Gonzalez, Chair  
Assembly Appropriations Committee

### **Section 28.00—Department of Social Services**

Pursuant to the provisions of Section 28.00, 2020 Budget Act, the following report is respectfully submitted.

The Department of Finance has received the attached Section 28.00 application from the Department of Social Services (DSS) for the purpose of increasing federal expenditure authority in Item 5180-151-0890 by \$64,602,000, for Program 4275019-Children & Adult Services and Licensing. The increased expenditure authority is needed for the following reasons:

**Adult Protective Services Programs—**\$9,477,000 for the purpose of expending federal funds recently awarded by the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 for Adult Protective Services Programs. The increase in Federal Fund authority in fiscal year 2020-21 is requested to supplement existing county-run Adult Protective Services Programs. DSS received official notification of the availability of additional, unanticipated funds on April 1, 2021 and notified Finance within 45 days of this date.

**John. H. Chafee Foster Care Independence (Chafee) Program—**\$55,125,000 for an increased funding award for the John. H. Chafee Foster Care Independence Program included in the federal Consolidated Appropriations Act of 2021. DSS received official notification of the availability of additional, unanticipated funds Chafee program funding on March 9, 2021 and notified Finance within 45 days of this date.

These requests meet the following criteria, as required in subdivision (b) of Section 28.00:

- The funds will be expended to assist with Adult Protective Services and Chafee grants for foster youth, which is consistent with state law.

- The funds are made available to the state under conditions permitting their use only for the specified purposes, and the additional expenditure proposed would apply to those specified purposes.
- Acceptance of the additional funding does not impose on the state any requirement to commit or expend new state funds for any program or purpose.

Finance concurs with the necessity of these changes to the approved budget as this funding will provide resources needed to support Adult Protective Services Programs and foster youth and non-minor dependents during the COVID-19 pandemic. Given the health and safety concerns of the COVID-19 pandemic, DSS respectfully requests the Committee's waive the 30-day waiting period requirement for an expedited review of this notification.

If you have any questions or need additional information regarding this matter, please call Justin Freitas, Principal Program Budget Analyst, at (916) 445-6423.

KEELY MARTIN BOSLER

Director

By:

A handwritten signature in black ink that reads "Erika Li". The signature is written in a cursive, flowing style.

ERIKA LI

Chief Deputy Director

Attachment

cc: On following page

cc: Honorable Dr. Joaquin Arambula, Chair, Assembly Budget Subcommittee No. 1  
Honorable Susan Talamantes Eggman, Chair, Senate Budget and Fiscal Review  
Subcommittee No. 3  
Honorable Jim Nielsen, Vice Chair, Senate Budget and Fiscal Review Committee  
Honorable Vince Fong, Vice Chair, Assembly Budget Committee  
Gabriel Petek, Legislative Analyst (3)  
Joe Stephenshaw, Staff Director, Senate Budget and Fiscal Review Committee  
Kirk Feely, Fiscal Director, Senate Republican Fiscal Office  
Christopher W. Woods, Senate President pro Tempore's Office (2)  
Christian Griffith, Chief Consultant, Assembly Budget Committee  
Joseph Shinstock, Fiscal Director, Assembly Republican Caucus, Office of Policy  
and Budget  
Paul Dress, Caucus Co-Chief of Staff, Assembly Republican Leader's Office  
Luigi Luciano, Legislative Director, Assembly Republican Leader's Office  
Jason Sisney, Assembly Speaker's Office (2)  
Mark McKenzie, Staff Director, Senate Appropriations Committee  
Jay Dickenson, Chief Consultant, Assembly Appropriations Committee  
Michelle Baass, Undersecretary, California Health and Human Services Agency  
Marko Mijic, Deputy Secretary of Program and Fiscal Affairs, California Health and  
Human Services Agency  
Kim Johnson, Director, Department of Social Services  
Salena Chow, Chief Operating Officer, Department of Social Services  
Jennifer Troia, Chief Deputy Director, Department of Social Services  
Kären Dickerson, Deputy Director, Department of Social Services  
Debbi Thomson, Deputy Director, Department of Social Services  
Angie Schwartz, Deputy Director, Department of Social Services  
Yang Lee, Acting Chief, Fiscal Forecasting and Policy Branch, Department of Social  
Services  
Nathan Hart, Chief, Financial Management and Contracts Branch, Department of  
Social Services  
Brian Winfield, Chief Deputy Director, Department of  
Developmental Services

*Please report dollars in thousands.*

<b>DEPARTMENT</b> Department of Social Services	<b>ITEM NO./ FISCAL YEAR</b> 5180-151-0890 2020-21	<b>NOTIFICATION LETTER TO LEGISLATURE:</b> <input type="checkbox"/> 30-DAY NOTICE <input checked="" type="checkbox"/> REQUEST WAIVER OF 30-DAY NOTICE
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**PROGRAM TITLE PER GOVERNOR'S BUDGET:**

CWS Federal Grants

<b>FUNDING (SPECIFY FUND)</b>	<b>CURRENTLY BUDGETED</b>	<b>REQUESTED CHANGE (+) OR (-)</b>	<b>TOTAL</b>
5180-151-0890 Title IV-E Federal Fund	1,697,877,000	+ 55,125,000	+ 1,753,002,000

(Check appropriate boxes)

- The funds will be expended for a purpose that is consistent with state law (explain use of funds in Part A below).
- The funds are made available to the state under conditions permitting their use only for a specified purpose, and the additional expenditure proposed under this section would apply to that specified funding purpose (as supported by explanation for the purpose of the funds).
- Acceptance of additional funding does not impose on the state any requirement to commit or expend new state funds for any program or purpose.
- The need exists to expend the additional funding during the current fiscal year (explain in Part B below).
- This application is provided to Finance within 45-days of official notice of receipt of funds.
- Explanation of delayed notification to Finance is attached (required if 45-day notification period is exceeded).
- A copy of the official notice of fund availability is attached to this application.
- One-time expenditure.
- Ongoing commitment from this source (if checked, explain under Program Proposal).
- Matching funds required (if checked, explain under Program Proposal).
- Expenditure either supplements or supplants an existing state-funded program (if checked, explain under Program Proposal).

**PART A: For what purpose will the funds be expended?**

CDSS will invest the additional funds provided by the Federal Consolidated Appropriations Act of 2021 to supplement existing Title IV-B programs. Specifically, the Department will utilize these funds by providing a one-time direct support for current and former foster youth age 18-26, consistent with governing rules outlined in ACYF-CB-PI-21-04 for Chafee funding. Additionally, funding provided for Educational Training Vouchers (ETV) will be used to exhaust the current ETV waitlist.

**PART B: Explain the need to expend the funds in the current fiscal year, including the consequence of waiting until budget year to expend the funds:**

Current and former foster youth are still enduring the lingering effects of the COVID - 19 pandemic. If delayed, foster youth will continue to face additional hardships, including those magnified by the COVID - 19 pandemic. Upon approval of this request CDSS will provide support as quickly as possible and meet the goals outlined in the Supporting Foster Youth and Families through the Pandemic Act.

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**PROGRAM PROPOSAL** (Attach additional information as necessary)

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**TITLE:**  
CWS FEDERAL GRANTS

**STATUTORY AUTHORITY:** (state, federal, as appropriate)  
Federal Consolidated Appropriations Act of 2021

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**DESCRIPTION:**

The federal Consolidated Appropriations Act of 2021 (P.L. 116-260) was enacted December 27, 2020, and provides \$400.0 million in additional funding nationally for the John H. Chafee Foster Care Independence Program (Chafee) with a minimum required allocation to the Chafee Education and Training Voucher (ETV) program of \$50.0 million. California received a total of \$47.2 million of this additional funding. Of this funding, approximately \$6.0 million is specific to the ETV program, and approximately \$3.3 million in additional funding will be dedicated to the ETV to exhaust the current ETV waitlist and bring award recipients up to the maximum \$5,000 funding level. A total of approximately \$4.0 million will be set aside to be dedicated to the Foster Youth to Independence program which will allow for Public Housing Authorities to request housing choice vouchers to serve youth under the age of 25 with a history of child welfare involvement for up to 36 months. The remaining funding will be dedicated to providing direct financial support for current and former foster youth age 18 - 26 who are or were eligible for Extended Foster Care (including approximately \$2.6 million for outreach and \$100,000 for administration of the support). Initial financial support will be provided as soon as possible and a second round of financial assistance may be provided in the Budget Year if funds amounting to more than an additional \$100 per young adult are remaining. A total amount of remaining funding that is less than \$100 per young adult would be additionally dedicated to the FYI program.

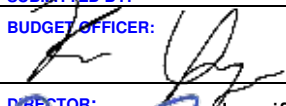

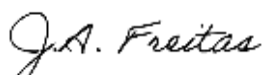
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**JUSTIFICATION:**

CDSS requests expenditure authority to meet the goals outlined in the federal Consolidated Appropriations Act of 2021 (P.L. 116-260). By doing so, CDSS will maximize its efforts to support both current and former foster youth through the COVID – 19 Pandemic.

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<b>SUBMITTED BY:</b>		<b>APPROVED BY DEPARTMENT OF FINANCE:</b>	
<b>BUDGET OFFICER:</b> 	<b>DATE:</b> 4/22/2021	<b>DATE SUBMITTED TO LEGISLATURE:</b> 5/27/2021	
<b>DIRECTOR:</b>  Jennifer Troia for Kim Johnson	<b>DATE:</b> 04/23/21	<b>PPBA:</b> 	<b>DATE:</b> 05/20/2021

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Notice of Award

**Title of Program: (APC5) CRRSA Act, 2021 suppl. funding for APS under SSA Title XX Section 2042(b)**

**Award Authority: P.L. 116-260 under P.L. 115-123 (SSA)**

**Grantee:**  
California  
Department of Social Services  
744 P Street, MS 8-17-12  
SACRAMENTO, CALIFORNIA 95814

**Date:** April 1, 2021

**Grant No.:** 2101CAAPC5-00  
**Award Instrument:** Grant (Formula)  
**Project Period:** 04-01-2021 - 09-30-2022  
**Budget Period:** 04-01-2021 - 09-30-2022

**EIN:** 1946001347A7  
**DUNS#:** 613673185

**CFDA:** 93.747

**Object Class Code:** 41.15

Appropriation	CAN	Award This Action	Cumulative Grant Award to Date
75-21-0142	2021,299C5A1	\$9,476,701.00	\$9,476,701.00
	Total	\$9,476,701.00	\$9,476,701.00

**ACL Contact Information:**

Please find your assigned ACL programmatic and fiscal contacts on ACL’s website at <https://www.acl.gov/grants/acl-mandatory-grants-programmatic-and-fiscal-contacts>.

  
**Emmanuel Ekwo**  
**ACL Grants Officer**

**Terms and Conditions:**

1. This grant is issued under the authority of the Coronavirus Response and Relief Supplemental Appropriations Act of 2021, P.L. 116-260, enacted December 27, 2020 for activities authorized under the Elder Justice Act Section 2042(b) of Title XX of the Social Security Act [Public Law 74-271] [As Amended Through P.L. 115-123, Enacted February 9, 2018]. The terms and conditions of this Notice of Award (NoA) and other requirements have the following order of precedence: (1) statute; (2) executive order; (3) program regulation; (4) administrative regulation found in 45 CFR Part 75; (5) agency policies; and (6) Any additional terms and conditions and remarks on NoA.

Please visit ACL’s website at <https://www.acl.gov/grants/managing-grant> to view some of these terms and conditions such as:

**ACL Title of Program:** CRRSA Act, 2021 suppl. funding for APS under SSA Title XX Section 2042(b)

**Grant No.:** 2101CAAPC5-00

**Date:** April 1, 2021

- Prohibition on certain telecommunications and video surveillance services or equipment
- SAM.gov / DUNS Requirement
- National Policies including Trafficking Victims Protection Act, Whistleblower Protections, and DOMA: Implementation of Same-Sex Spouses/Marriages
- Federal Funding Accountability and Transparency Act (FFATA)
- Federal Awardee Performance and Integrity Information System (FAPIIS)

2. By requesting or receiving funds under this award, the recipient assures that it will carry out the project/program described in its letter of assurance and will comply with the terms and conditions and other requirements of this award.

3. SF-425 Financial Reporting: Grantee is required to submit SF-425s on a semi-annual basis. The SF-425 shall be submitted using the HHS' Payment Management System (PMS). PMS website is located at: <https://pms.psc.gov>. Reports are due within 30 days for the periods ending September 30 and March 31 (i.e., due October 30 and April 30), through September 30, 2022, a final PMS drawdown and a final SF-425 are due within 120 days after September 30, 2022 (i.e., due January 31, 2023). If a final SF-425 report will be submitted January 31, 2023, a semi-annual report is not required to be filed for report ending September 30, 2022.

4. Federal Cash Reporting: On the SF-425 form, lines 10 a. through c. are reported on a quarterly calendar year basis (for the periods ending 12/31, 3/31, 6/30, 9/30) at the HHS' Payment Management System (PMS). PMS website is located at: <https://pms.psc.gov>. Reconciliation of advances and disbursements is required for each quarter and the report must be completed within 30 days of the end of each quarter (i.e., by 1/30, 4/30, 7/30, 10/30). This reporting requirement is separate from completing the entire SF-425 as denoted in the financial reporting term.

**Remarks:**

1. The grant award for this program to your state has been approved for the project period April 1, 2021 - September 30, 2022 after review of the letter of assurance and initial spending plan submitted.

2. Payment under this award will be made available through the HHS Departmental Payment Management System (PMS). PMS provides instructions for making withdrawals of Federal funds. When requesting payment from PMS, please use your P account login and reference the Grant No. listed above for payment. Instructions regarding payments can be obtained at <https://pms.psc.gov/training/pms-user-guide.html#Request>, or contact your PSC Account Liaison; 1-877-614-5533; [PMSSupport@psc.gov](mailto:PMSSupport@psc.gov).

3. This Notice of Award provides one time funding to support preventing, preparing for and responding to Coronavirus Disease 2019 (COVID-19), as outlined in the Consolidated Appropriations Act, 2021, P.L. 116-260, enacted December 27, 2020.



**Recipient Information**

**1. Recipient Name**

California  
744 P Street

SACRAMENTO, CALIFORNIA 95814

**2. Congressional District of Recipient**

\*See Remarks

**3. Payment Account Number and Type**

\*See Remarks

**4. Employer Identification Number (EIN)**

1946001347A7

**5. Data Universal Numbering System (DUNS)**

613673185

**6. Recipient's Unique Entity Identifier**

\*See Remarks

**7. Project Director or Principal Investigator**

Grant Administrator

yiping.hu@dss.ca.gov

**8. Authorized Official**

\*See Remarks

**Federal Agency Information**

**9. Awarding Agency Contact Information**

Janice Realeza

Grants Management Officer

janice.realeza@acf.hhs.gov

2158614007

**10. Program Official Contact Information**

Joseph Bock

Program Authorizing Official

ACYF - Children's Bureau

Bock.Joseph@acf.hhs.gov

111-111-1111

**Federal Award Information**

**11. Award Number**

2101CACETV

**12. Unique Federal Award Identification Number (FAIN)**

2101CACETV

**13. Statutory Authority**

P.L. 107-133

**14. Federal Award Project Title**

\*See Remarks

**15. Catalog of Federal Domestic Assistance (CFDA) Number**

93.599

**16. CFDA Program Title**

Chafee Education and Training Vouchers Program (ETV)

**17. Award Action Type**

New

**18. Is the Award R&D?**

\*See Remarks

**Summary Federal Award**

**Financial Information**

**19. Budget Period Start Date** 10-01-2020

**End Date** 09-30-2022

**20. Total Amount of Federal Funds Obligated by this Action**

\$5,061,852.00

20a. Direct Cost Amount

\*See Remarks

20b. Indirect Cost Amount Administrative Offset

\*See Remarks

21. Authorized Carryover

\*See Remarks

22. Offset

\*See Remarks

23. Total Amount of Federal Funds Obligated this budget period

\$5,061,852.00

**24. Total Approved Cost Sharing or Matching, where applicable**

\*See Remarks

**25. Total Federal and Non-Federal Approved**

\*See Remarks

**26. Project Period Start Date** 10-01-2020 -

**End Date** 09-30-2022

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching

\*See Remarks

**28. Authorized Treatment of Program Income**

\*See Remarks

**29. Grants Management Officer – Signature**

Janice Realeza

**Footnotes**

Grants Management Officer

Box #7 is the standard name "Grant Administrator" that will be on all Notice of Awards (NOA) Actions.

The email address is where this NOA was sent.





**Recipient Information**

California  
744 P Street  
SACRAMENTO, CALIFORNIA 95814  
**Employer Identification Number (EIN):** XXXXXXXXXXXXX  
**Data Universal Numbering System (DUNS):** 613673185  
**Recipient's Unique Entity Identifier:** \*See Remarks  
**Object Class:** 41.15

**Financial Information**

<u>Appropriation</u>	<u>CAN</u>	<u>Allotment</u>	<u>Award this action</u>	<u>Cumulative Grant</u> <u>Award to Date</u>	<u>Document Number</u>	<u>Funding Type</u>
75-21-1536	2021,G992601	\$5,061,852.00	\$5,061,852.00	\$5,061,852.00	G-2101CACETV	Formula

**Terms and Conditions**



Department of Health and Human Services  
Administration for Children and Families

Notice of Award  
Award # 2101CACETV  
FAIN# 2101CACETV  
Federal Award Date: March 11, 2021

By acceptance of awards for this program, the grantee agrees to comply with the requirements included in both the General and Supplemental Terms and Conditions for this program.

The administration of this program is authorized under Title IV, Part E, Section 477, of the Social Security Act (Act). The program is codified at 42 U.S.C. § 677. The program-specific implementing regulations are located at 45 CFR Parts 1355, 1356 and 1357. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards is located under 45 CFR Part 75. In accordance with 45 CFR §75.101(e) Applicability, guidance in subpart C (except for 75.202) does not apply to this program. All other 45 CFR Part 75 subparts apply.

Additional applicable regulations and requirements can be found in the General Terms and Conditions for Mandatory: Formula, Block and Entitlement Grants.

Cost Sharing or Matching (Non-Federal Share) of Program Funding

The Federal Financial Participation (FFP) rate, per Section 474(a)(4)(A) of the Act, is 80 percent of the amount expended for this program. The States and Tribes are required to contribute the remaining 20 percent of the total expended for this program. Federal reimbursement ends once the entity expends its allotted amount.

In accordance with Section 477(d)(2) of the Act, the Education and Training Voucher program funds may be used to supplement not supplant any other funds which are available for the same general purposes in the State or tribe.

Financial Reporting

The expenditure reporting form used is the SF-425 Federal Financial Report. This report is submitted annually and must be submitted no later than December 30 - 90 days following the end of each Federal Fiscal year. SF-425 reports must be submitted each grant year funds are available: four interim reports covering year one thru four of the project periods and a final report (cumulative) covering the entire project period. These annual reports must be submitted electronically through the HHS Payment Management System (PMS).

Funding (project) period and obligation period. In accordance with Section 477(d)(3) of the Act, this program has a 2-year project/obligation period starting the first day of the Federal Fiscal Year, October 1, for which funds were awarded and ending the last day of the following Federal Fiscal Year, September 30. Any Federal funds not obligated by the end of the respective obligation period will be recouped by this Department. Such funds shall then be subject to redistribution to other program grantees in accordance with Section 477(d)(5) of the Act.

Liquidation period. In accordance with 45 CFR § 75.309(b), all obligated Federal funds awarded under this grant must be liquidated no later than 90 days after the end of the funding/obligation period. Any Federal funds not liquidated by December 30 will be recouped by this Department.

Program Reporting

In accordance with 45 CFR §§1357.15.16, a five-year Child and Family Services Plan must be submitted following instructions in the applicable Program Instruction and be approved by ACF. Annual Progress and Service Reports and CFS-101 forms are required, no later than June 30 each year. These reports must establish goals and objectives for a five-year period, provide information on accomplishments and progress made during the previous fiscal year, and provides update on program areas selected for improvement and other activities in the next year in accordance with guidance provided in an annual Program Instruction. These annual reports must be submitted for approval to the appropriate ACF Regional Program Office.

States Only: In accordance with Section 477(f) of the Act and federal regulations at 45 CFR §§ 1356.80-.86, states are required to submit data to the National Youth in Transition Database (NYTD), including information on youth who are receiving independent living services and the outcomes of certain youth who are in foster care or who have aged out of foster care. States must submit data files semi-annually, by May 15 and November 14.

Real Property Reports (SF-429s). The SF-429 Real Property forms are not applicable to this program. Purchase, construction, and major renovation are not an allowable activity or expenditure under this grant.

Points of contact for additional information or questions concerning either the operation of the program or related financial or grant matters may be found on the Notice of Award or email [FPRG-OGM@acf.hhs.gov](mailto:FPRG-OGM@acf.hhs.gov)

**Remarks**

\* This field is intended to be included in the standardized Notice of Award and will be displayed in subsequent quarters.



Department of Health and Human Services  
Administration for Children and Families

Notice of Award  
Award # 2101CACETV  
FAIN# 2101CACETV  
Federal Award Date: March 11, 2021



**Recipient Information**

**1. Recipient Name**

California  
744 P Street

SACRAMENTO, CALIFORNIA 95814

**2. Congressional District of Recipient**

\*See Remarks

**3. Payment Account Number and Type**

\*See Remarks

**4. Employer Identification Number (EIN)**

1946001347A7

**5. Data Universal Numbering System (DUNS)**

613673185

**6. Recipient's Unique Entity Identifier**

\*See Remarks

**7. Project Director or Principal Investigator**

Grant Administrator

yiping.hu@dss.ca.gov

**8. Authorized Official**

\*See Remarks

**Federal Agency Information**

**9. Awarding Agency Contact Information**

Janice Realeza

Grants Management Officer

janice.realeza@acf.hhs.gov

2158614007

**10. Program Official Contact Information**

Joseph Bock

Program Authorizing Official

ACYF - Children's Bureau

Bock.Joseph@acf.hhs.gov

111-111-1111

**Federal Award Information**

**11. Award Number**

2101CACILC

**12. Unique Federal Award Identification Number (FAIN)**

2101CACILC

**13. Statutory Authority**

Supporting Foster Youth and Families through the Pandemic Act, Division X of Public Law (P.L.) 116-260, the Consolidated Appropriations Act, 2021

**14. Federal Award Project Title**

\*See Remarks

**15. Catalog of Federal Domestic Assistance (CFDA) Number**

93.674

**16. CFDA Program Title**

John H. Chafee Foster Care Program for Successful Transition to Adulthood

**17. Award Action Type**

New

**18. Is the Award R&D?**

\*See Remarks

**Summary Federal Award**

**Financial Information**

**19. Budget Period Start Date** 10-01-2020

**End Date** 09-30-2022

**20. Total Amount of Federal Funds Obligated by this Action**

\$41,280,026

20a. Direct Cost Amount

\*See Remarks

20b. Indirect Cost Amount Administrative Offset

\*See Remarks

21. Authorized Carryover

\*See Remarks

22. Offset

\*See Remarks

23. Total Amount of Federal Funds Obligated this budget period

\$41,280,026

**24. Total Approved Cost Sharing or Matching, where applicable**

\*See Remarks

**25. Total Federal and Non-Federal Approved**

\*See Remarks

**26. Project Period Start Date** 10-01-2020 -

**End Date** 09-30-2022

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching

\*See Remarks

**28. Authorized Treatment of Program Income**

\*See Remarks

**29. Grants Management Officer – Signature**

**Footnotes**

Janice Realeza  
Grants Management Officer



Department of Health and Human Services  
Administration for Children and Families

Notice of Award

Award # 2101CACILC

FAIN# 2101CACILC

Federal Award Date: February 22, 2021

- (1) Box #7 is the standard name "Grant Administrator" that will be on all Notice of Award Actions (NOA). The e-mail address is where the NOA was sent.
- (2) The Allotment amount within the Financial Information Section is intentionally blank. Please note that the allotment amount is the same as the "Cumulative Grant Award to Date." This award represents the full award amount for the grant.
- (3) The Children's Bureau will be issuing a Program Instruction with additional information concerning this grant program.



**Recipient Information**

California  
744 P Street  
SACRAMENTO, CALIFORNIA 95814  
**Employer Identification Number (EIN):** XXXXXXXXXXXXX  
**Data Universal Numbering System (DUNS):** 613673185  
**Recipient's Unique Entity Identifier:** \*See Remarks  
**Object Class:** 41.15

**Financial Information**

<u>Appropriation</u>	<u>CAN</u>	<u>Allotment</u>	<u>Award this action</u>	<u>Cumulative Grant</u> <u>Award to Date</u>	<u>Document Number</u>	<u>Funding Type</u>
75-21-1545	2021,G994419		\$41,280,026	\$41,280,026	2101CACILC	Formula

**Terms and Conditions**



Department of Health and Human Services  
Administration for Children and Families

Notice of Award

Award # 2101CACILC

FAIN# 2101CACILC

Federal Award Date: February 22, 2021

By acceptance of awards for this program, the grantee agrees to comply with the requirements included in both the General and Supplemental Terms and Conditions for this program.

The administration of this program is subject to: (1a) The requirements of Sections 3 and 4 of Division X of the Supporting Foster Youth and Families through the Pandemic Act within the Consolidated Appropriations Act, 2021, (Pub. L. 116-260) and (1b) Title IV, Part E, Section 477, of the Social Security Act (Act). The program is codified at 42 U.S.C. §677 and the program-specific implementing regulations are located at 45 CFR Parts 1355, 1356, and 1357.

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards is located under 45 CFR Part 75. In accordance with 45 CFR §75.101(e) Applicability, guidance in subpart C (except for section 75.202) does not apply to this program. All other 45 CFR Part 75 subparts apply.

Additional applicable regulations and requirements can be found in the General Terms and Conditions for Mandatory: Formula, Block and Entitlement Grants.

Funds for this program are awarded with a 100 percent Federal Financial Participation (FFP) rate for program costs, so there is no non-federal cost share required for this program per (section 3(a)(4) of Division X of the Consolidated Appropriations Act, 2021). Federal reimbursement ends once the entity expends its allotted amount.

In accordance with Section 477(d)(2) of the Act, the John H. Chafee Foster Care Program for Successful Transition to Adulthood funds may be used to supplement but not supplant any other funds which are available for the same general purposes in the State or tribe.

Federal funds awarded under these grants must be expended for the purposes for which they were awarded. Grantees must use these funds to respond to the needs of youth and young adults in and transition from the foster care system.

Each grantee's fiscal and accounting procedures must be sufficient to permit the preparation of required reports and the tracing of expenditures to a level necessary to establish that Federal funds have not been used in violation of the terms and conditions.

The OMB approved Financial Reporting form for this program is the SF-425 Federal Financial Report for expenditure reporting. This report is submitted annually and must be submitted no later than December 30 - 90 days following the end of each Federal Fiscal year. Two SF-425 reports must be submitted for each grant award: an interim report covering year one of the project period and a final report (cumulative) covering the entire project period. These annual reports must be submitted electronically through the HHS Payment Management System (PMS).

Funding (project) period and obligation period. In accordance with Section 477(d)(3) of the Act, this program has a 2-year project/obligation period starting the first day of the Federal Fiscal Year, October 1, for which funds were awarded and ending the last day of the following Federal Fiscal Year, September 30. Any Federal funds not obligated by the end of the respective obligation period will be recouped by this Department. Such funds shall then be subject to redistribution to other program grantees in accordance with Section 477(d)(5) of the Act.

Liquidation period. In accordance with 45 CFR §75.309(b), all obligated Federal funds awarded under this grant must be liquidated no later than 90 days after the end of the funding/obligation period. Any Federal funds not liquidated by December 30 will be recouped by this Department.

In accordance with 45 CFR §§1357.15 and .16, a five-year Child and Family Services Plan must be submitted following instructions in the applicable Program Instruction and be approved by ACF. Annual Progress and Service Reports and CFS-101 forms are required, no later than June 30 each year. These reports must establish goals and objectives for a five-year period, provide information on accomplishments and progress made during the previous fiscal year, and provides update on program areas selected for improvement and other activities in the next year in accordance with guidance provided in an annual Program Instruction. These annual reports must be submitted for approval to the appropriate ACF Regional Program Office. Grantees receiving this supplemental funding will be required to report on their use of funds in future APSR submissions

States Only: In accordance with Section 477(f) of the Act and federal regulations at 45 CFR §§1356.80 and .86, states are required to submit data to the National Youth in Transition Database (NYTD), including information on youth who are receiving independent living services and the outcomes of certain youth who are in foster care or who have aged out of foster care. States must submit data files semi-annually, by May 15 and November 14. As specified in section 3(a)(6) of Division X of the Consolidated Appropriations Act, 2021, the supplemental funding received under this award shall not be considered in calculating any penalty under section 477(e)(2) of the Act with respect to (NYTD).

Real Property Reports (SF-429s). The SF-429 Real Property forms are not applicable to this program. Purchase, construction, and major renovation are not an allowable activity or expenditure under this grant.



Department of Health and Human Services  
Administration for Children and Families

Notice of Award  
Award # 2101CACILC  
FAIN# 2101CACILC  
Federal Award Date: February 22, 2021

Points of contact for additional information or questions concerning either the operation of the program or related financial or grant matters may be found on the Notice of Award.

**Remarks**

\* This field is intended to be included in the standardized Notice of Award and will be displayed in subsequent quarters.





**Recipient Information**

**1. Recipient Name**

California  
744 P Street, MS 9-4-72

SACRAMENTO, CALIFORNIA 95814

**2. Congressional District of Recipient**

\*See Remarks

**3. Payment Account Number and Type**

\*See Remarks

**4. Employer Identification Number (EIN)**

1946001347A7

**5. Data Universal Numbering System (DUNS)**

613673185

**6. Recipient's Unique Entity Identifier**

\*See Remarks

**7. Project Director or Principal Investigator**  
Grant Administrator

yiping.hu@dss.ca.gov

**8. Authorized Official**

\*See Remarks

**Federal Agency Information**

**9. Awarding Agency Contact Information**

Janice Realeza  
Grants Management Officer  
janice.realeza@acf.hhs.gov  
2158614007

**10. Program Official Contact Information**

Joseph Bock  
Program Authorizing Official  
ACYF - Children's Bureau  
Bock.Joseph@acf.hhs.gov  
111-111-1111

**Federal Award Information**

**11. Award Number**

2101CAFpsc

**12. Unique Federal Award Identification Number (FAIN)**

2101CAFpsc

**13. Statutory Authority**

Supporting Foster Youth and Families through the Pandemic Act, Division X of Public Law (P.L.) 116-260, the Consolidated Appropriations Act, 2021

**14. Federal Award Project Title**

\*See Remarks

**15. Catalog of Federal Domestic Assistance (CFDA) Number**

93.556

**16. CFDA Program Title**

MaryLee Allen Promoting Safe and Stable Families Program

**17. Award Action Type**

New

**18. Is the Award R&D?**

\*See Remarks

**Summary Federal Award**

**Financial Information**

**19. Budget Period Start Date** 10-01-2020

**End Date** 09-30-2022

**20. Total Amount of Federal Funds Obligated by this Action**

\$7,844,858.00

20a. Direct Cost Amount

\*See Remarks

20b. Indirect Cost Amount Administrative Offset

\*See Remarks

21. Authorized Carryover

\*See Remarks

22. Offset

\*See Remarks

23. Total Amount of Federal Funds Obligated this budget period

\$7,844,858.00

**24. Total Approved Cost Sharing or Matching, where applicable**

\*See Remarks

**25. Total Federal and Non-Federal Approved**

\*See Remarks

**26. Project Period Start Date** 10-01-2020 -

**End Date** 09-30-2022

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching

\*See Remarks

**28. Authorized Treatment of Program Income**

\*See Remarks

**29. Grants Management Officer – Signature**

**Footnotes**

Janice Realeza  
Grants Management Officer

Box #7 is the standard name "Grant Administrator" that will be on all Notice of Award (NOA) Actions.

The email address is where this NOA was sent.



**Recipient Information**

California  
744 P Street, MS 9-4-72  
SACRAMENTO, CALIFORNIA 95814  
**Employer Identification Number (EIN):** XXXXXXXXXXXXX  
**Data Universal Numbering System (DUNS):** 613673185  
**Recipient's Unique Entity Identifier:** \*See Remarks  
**Object Class:** 41.15

**Financial Information**

<u>Appropriation</u>	<u>CAN</u>	<u>Allotment</u>	<u>Award this action</u>	<u>Cumulative Grant</u> <u>Award to Date</u>	<u>Document Number</u>	<u>Funding Type</u>
75-21-1512	2021,G990202	\$7,844,858.00	\$7,844,858.00	\$7,844,858.00	2101CAFPS	Discretionary

**Terms and Conditions**



Department of Health and Human Services  
Administration for Children and Families

Notice of Award  
Award # 2101CAFPSC  
FAIN# 2101CAFPSC  
Federal Award Date: March 12, 2021

By acceptance of awards for this program, the grantee agrees to comply with the requirements included in both the General and Supplemental Terms and Conditions for this program.

The administration of this program is subject to: (1) the requirements of Section 6 of Division X, the Supporting Foster Youth and Families through the Pandemic Act within the Consolidated Appropriations Act, 2021 (Pub. L. 116-260), and (2) Title IV, Part B, Subpart 2, sections 430 to 437, of the Social Security Act (Act).

The program is codified at 42 USC §§629-629g and program-specific implementing regulations are located at 45 CFR Parts 1355 and 1357. Additionally, 45 CFR 97.11 as applicable to the Consolidation of Grants to the insular areas.

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards is located under 45 CFR Part 75. In accordance with 45 CFR §75.101 Applicability, this program must comply with 45 CFR Part 75 in its entirety. No exceptions were identified.

Additional applicable regulations and requirements can be found in the General Terms and Conditions for Mandatory: Formula, Block and Entitlement Grants.

Per Section 6(b) of Division X of the Consolidated Appropriations Act of 2021, funds for this program are awarded with a 100 percent Federal Financial Participation (FFP) rate for program costs, so there is no non-federal cost share required for this program.

States only. This program has a Maintenance of Effort (MOE) requirement, per section 432(a)(7) of the Act. A State must assure that the federal funds under Title IV-B, Subpart 2, will not be used to supplant Federal or non-Federal funds for existing services and activities which promote the purposes of this grant. The state must also furnish information on state and local share expenditure amounts to demonstrate compliance with this requirement.

Each grantee’s fiscal and accounting procedures must be sufficient to permit the preparation of required reports and the tracing of expenditures to a level necessary to establish that Federal funds have not been used in violation of the terms and conditions.

States only. According to section 432(a)(4) and 434(d) of the Act, States must limit administrative costs to no more than 10 percent of the total expenditures of the State during the fiscal year under the State plan.

The OMB approved Financial Reporting form for this program is the Financial Status Report SF-425. The SF-425 is due annually and no later than December 30, which is 90 days after the end of each project period. Financial Reporting form and submission. Two SF-425 reports must be submitted for each grant award: an interim report covering year one of the project period and a final report (cumulative) covering the entire project period. These annual reports must be submitted electronically through the HHS Payment Management System (PMS).

Funding (project) period and obligation period. In accordance with 45 CFR §1357.32(g) for States and 45 CFR §1357.50(h) for Tribes, this program has a 2 year project/obligation period starting the first day of the Federal Fiscal Year, October 1, for which funds were awarded and ending the last day of the following Federal Fiscal Year, September 30. Any Federal funds not obligated by the end of the respective obligation period will be recouped by this Department.

Liquidation period. In accordance with 45 CFR §§75.309 and §75.381(a), all obligated Federal funds awarded under this grant must be liquidated no later than 90 days after the end of the funding/obligation period. Any Federal funds not liquidated within the 90 days will be recouped by this Department.

In accordance with 45 CFR §§1357.15 and .16, a five-year Child and Family Services Plan, Annual Progress and Service Reports, and CFS-101 forms are required, no later than June 30 each year. These reports must establish goals and objectives for a five-year period, provide information on accomplishments and progress made during the previous fiscal year, and provide update on program areas selected for improvement and other activities in the next year. These annual reports must be submitted to the appropriate ACF Regional Program Office. Grantees receiving this supplemental award for the MaryLee Allen Promoting Safe and Stable Families program will be required to report on their use in future APSR submissions.

Real Property Reports (SF-429s). The SF-429 Real Property forms are not applicable to this program. Purchase, construction, and major renovation are not an allowable activity or expenditure under this grant.

Points of contact for additional information or questions concerning either the operation of the program or related financial or grant matters may be found on the Notice of Award.

<b>Remarks</b>
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Department of Health and Human Services  
Administration for Children and Families

Notice of Award  
Award # 2101CAFPS  
FAIN# 2101CAFPS  
Federal Award Date: March 12, 2021

\* This field is intended to be included in the standardized Notice of Award and will be displayed in subsequent quarters.